#### **Original Article**

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## The Survey of *Cronobacter* spp. (formerly *Enterbacter sakazakii*) in Infant and Follow-up Powdered Formula in China in 2012<sup>\*</sup>

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#### Abstract

**Objective** To determine *Cronobacter* spp. contamination in infant and follow-up powdered formula in China.

**Methods** All of 2282 samples were collected from the retail markets in China from January 2012 to December 2012, and analyzed for *Cronobacter spp*. by the Chinese *National Food Safety Standard*. Characterization of the isolates was analyzed by pulsed-field gel electrophoresis (PFGE) with *Xba*I and *Spe*I restriction enzymes.

**Results** *Cronobacter* spp. strains were isolated from 25 samples, and the positive rates in infant powdered formulas and follow-up powdered formulas were 0.90% (10/1011) and 1.18% (15/1271), respectively. Analysis of variable data regarding different purchasing store formats, seasonality, and production locations as well as comparison of infant versus follow-up formulas did not reveal statistically significant factors. During the sampling period, one of six surveillance zones did exhibit a statistically significant trend towards higher positive rate. PFGE characterization of *Cronobacter* spp. to elucidate genetic diversity revealed only three pairs of *Cronobacter* spp. out of 25 having the same PFGE patterns.

**Conclusion** The current investigation indicated a lower positive rate of *Cronobacter* spp. in the powdered formula in China. This evidence suggested contamination originating from multiple different sources during the manufacturing process.

Key words: Cronobacter spp.; Contamination; PFGE; Powdered formula

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#### INTRODUCTION

ronobacter spp. is a ubiquitous gramnegative, non-spore-forming opportunistic pathogen frequently isolated from food and environmental samples<sup>[1-3]</sup>. On occasion, it has been associated with sporadic cases or small outbreaks of sepsis, meningitis, cerebritis, and necrotizing enterocolitis. Although *Cronobacter* spp. is associated with illness in all age groups, analysis of age distribution in reported cases indicates that infants (children <1 year) are at particular risk.

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Among infants, those at greatest risk for *Cronobacter* spp. infection are neonates (<28 days), particularly low-birth weight infants or immunocompromised infants. *Cronobacter* spp. has been identified as one of the microorganisms of greatest concern with infant powdered formula<sup>[2,4]</sup>.

The first 2 known cases of Cronobacter spp. neonatal meningitis were reported by Urmenyi and Franklin in 1961<sup>[5]</sup>. Since 1961, an increasing number of Cronobacter spp. infections have been reported amongst neonates, infants and children with exposure and outbreaks of infection associated with infant formula<sup>[1,6]</sup>. Prior to 2004, incidence of food related contamination and infection from *Cronobacter* spp. had not been reported in China<sup>[7]</sup>. At the inception of field surveillance for this pathogen contamination levels were observed at 12.64% (11/87) in counterfeit and low-quality powdered infant formula in Fuyang, Anhui province in 2004 and 5.19% (11/212) in powdered formula in the Chinese retail market in 2005<sup>[8-9]</sup>. The Chinese government strengthened the inspection and regulation of powdered infant and follow-up formula factories after the above two surveys<sup>[8-9]</sup>, especially Sanlu milk scandal<sup>[10]</sup>. This survey was conducted to collect information about the contamination of Cronobacter spp. in powdered infant and follow-up formula sold in national market in all the provinces in order to obtain the contamination level and the effect of government regulation. All these isolates were further characterized using the PFGE to learn the relevance of this contamination.

#### MATERIALS AND METHODS

#### Sampling Plan

About 20 cities were investigated in each surveillance area and these cities were selected hierarchically based on economic development as mea-

sured by per capita gross domestic product (GDP).

Local retail sales data were used to develop a sampling plan that is representative of daily consumption patterns in both urban and rural markets. The sampling points should include all the retail locations for local residents where these products may be bought. Only one sample can be picked randomly from each batch powdered formula sold in the market. All brands of powdered formula produced within the surveillance area were considered for evaluation. Powdered formulas suitable from birth to 36 months were collected from markets. In total, 2282 powdered formula samples were collected during quarterly collections from January 2012 to December 2012 (Table 1).

#### Isolation and Identification

For this investigation, laboratories were qualified for participation if they had completed blinded control proficiency testing within the last two years and were capable of having positive isolates re-identified by BioMérieux VITEK 2 GN ID card. All the data were submitted by the National Wed-Based Reporting System of Food Microbiology Surveillance<sup>[11]</sup>.

The examination of the powdered formula was performed according to Chinese National Food Safety Standard-Food Microbiological Examination: Cronobacter spp. GB 4789.40-2010<sup>[12]</sup>. Using a three-tube most probable number (MPN) procedure, triplicate 100 g, 10 g, and 1 g samples were aseptically weighed out for analysis and transferred into 90 mL culture media and 9 mL sterile buffered peptone water (BPW) (Huankai Micorbiology Technologies Co., Ltd., China), respectively, and incubated at 36 °C for 18±2 h. Then, 1 mL pre-enrichment medium transferred into 10 mL of mLST/vancomycin medium (Luqiao Technologies Co., Ltd., China) and incubated at 44±0.5 °C for 24±2 h. The incubated broth samples were streaked onto the

Surveillance Areas	Provinces	No. of Samples Tested
Northeast China	Liaoning, Jilin, Heilongjiang	258
North China	Beijing, Hebei, Shanxi, Neimenggu	344
East China	Jiangsu, Zhejiang, Anhui, Fujian, Jiangxi, Shandong	403
Northwest China	Shaanxi, Gansu, Qinghai, Ningxia, Xinjiang	508
Southwest China	Chongqing, Sichuan, Guizhou, Yunnan	339
South Central China	Henan, Hubei, Hunan, Guangdong,Guangxi , Hunan	430
Total		2282

Table 1. Samples in Powdered Formula in Different Surveillance Areas

surface of the CHROMagar<sup>™</sup> Cronobacter spp. agarplate (CHRO Magar, France) and incubated at 37 °C for 24±2 h. The presumptive colonies were streaked onto the surface of the TSA plate (Haibo Technologies Co., Ltd., China), and incubated the plate at 25 °C for 44 h to 48 h. After incubation, only vellow pigmented colonies were selected and confirmed using the VITEK<sup>®</sup> automatic biochemical identification system according the to manufacturer's instructions. MPN estimation of Cronobacter spp. cells/100 g of sample was calculated based on the number of 'positive tubes' at each dilution in which the presence of *Cronobacter* spp. was confirmed.

#### Molecular Subtyping by PFGE

The method for PFGE subtyping of Cronobacter spp. was derived from a modified Salmonella PulseNet protocol with modification: Xbal and Spel as primary and secondary restriction enzymes, respectively<sup>[13]</sup>. The PFGE method has been proven to be a stable high resolution method, and the Xbal restriction enzyme is recommended for this type of investigation<sup>[14-16]</sup>. Following overnight incubation, Cronobacter spp. were removed from TSA plate (Oxoid, Hampshire, UK) with a cotton swab, and cell suspensions were adjusted to 14%-15% transmittance (measured in Falcon 2054 tubes) as measured by a bioMérieuxVitek colorimeter. Agarose plugs [0.5% (w/v)] were prepared in plug molds (Bio-Rad Laboratories, Hercules, CA) and allowed to solidify. The plugs were lysed at 54 °C for 2 h in 5 mL cell lysis buffer [50 mmol/L Tris-HCl (pH 8.0), 50 mmol/L EDTA (pH 8.0), 1% (w/v) sodium lauroyl-sarcosine, 20 mg/mL proteinase K] with gentle shaking. Agarose plugs were then washed twice with 15 mL deionized water and a further four times with 10 mL TE buffer [10 mmol/L Tris (pH 8.0), 1 mmol/L EDTA (pH 8.0)] at 50 °C with vigorous shaking. The prepared plugs containing the purified DNA were cut into 3 mm slices and digested with Xbal for 3-4 h. DNA fragments were separated by electrophoresis (CHEF Mapper, Bio-Rad Laboratories) through a 1% (w/v) agarose gel (Seakem Gold, Rockland, Maine) in 0.5× TBE buffer at 14 °C for 18 h at 6.0 V/cm with pulse times ramped linearly from 2.16 to 63.80 s. Cronobacter spp. with the same patterns digested with XbaI were also characterized with Spel for 4-hours digestion, DNA fragments were separated by electrophoresis through a 1% (w/v) agarose gel in 0.5× TBE buffer at 14 °C for 20 h at 6.0 V/cm with pulse times ramped linearly from 2.16 to

#### 40.01 s.

#### **Statistical Analysis**

*Cronobacter* spp. positive rate was analyzed by logistic regression due to the low positive samples. DNA fingerprints were analyzed by BioNumerics software version 6.6 (Applied Maths, NV, Belgium) where the dendrogram was generated using the DICE coefficient and the unweighted pair group method with arithmetic mean (UPGMA).

#### RESULTS

#### Cronobacter spp. Positive Rate in Powdered Formula

Overall, 1.10% (25/2282) samples were positive for the presence of *Cronobacter* spp. Twenty of 25 positive samples were in low-level contamination of *Cronobacter* spp. between 0.3 MPN/100 g and 0.94 MPN/100 g, and the maximum contamination level was 24 MPN/100 g. 0.90% (10/1011) positive rate of *Cronobacter* spp. was observed with slightly lower incidences in infant powdered formula than that in follow-up products 1.18% (15/1271). However, a significant difference was failed to observed between the two kinds of powdered formula neither using Chi-square test ( $\chi^2$ =0.054, *P*=0.816) nor Fisher exact test (*P*=0.692).

There were statistically significant differences between different surveillance areas using logistic regression analysis and the odds ratio was 1.491 with 95% confidence interval (CI) from 1.141-2.001 (Table 2). The contamination in South Central China was much higher than other areas. Five samples with different lots from one production factory in South Central China were found positive for *Cronobacter* spp. by three different laboratories.

Sample collection occurred at supermarkets, retail stores, whole sale markets and production factories. Supermarkets were the primary source for samples (87.86%; 2005/2282), followed by retail stores (9.99%; 228/2282). The present study did not show statistically significant difference of *Cronobacter* spp. positive rate in powdered formula from different sampling sites including (odds ratio=1.277, 95% CI: 0.499-2.461) and excluding processing factory (odds ratio=1.419, 95% CI: 0.531-2.939) by logistic regression analysis (Table 2).

There was no statistically significant difference found for *Cronobacter* spp. positive rate in powdered formula among different production quarters neither by Chi-square test ( $\chi^2$ =0.683, *P*=0.823) nor logistic regression analysis (odds ratio=1.040, 95% CI: 0.721-1.482).

The present study failed to find significant difference of *Cronobacter* spp. positive rate in powdered formula among different sampling quarters using Chi-square test ( $\chi^2$ =1.962, *P*=0.580) and logistic regression analysis (odds ratio=1.208, 95% CI: 0.803-1.863).

Based on the labeling production locations, *Cronobacter* spp. positive rate in powdered formula from different production areas was shown in Table 2. Using Chi-square test ( $\chi^2$ =5.752, *P*=0.451) and logistic regression analysis (odds ratio=1.147, 95% CI: 0.938-1.397), the present study failed to find the statistical difference of *Cronobacter* spp. positive rate in powdered formula from different production areas, and the same as comparison between samples produced at home and abroad by Fisher exact probability method (odds ratio=0.882, 95% CI: 0.140-36.716).

A multiple factors logistic regression was performed to measure the association between the

*Cronobacter* spp. positive rate in powdered formula and its potential factors, including surveillance area, sampling site, production quarters and sampling quarters. It found significant variation of the contamination among different surveillance areas (odds rate=1.491, 95% CI: 1.141-2.008), but failed to detect the statistical associations for sampling site (odds rate=1.058, 95% CI: 0.386-3.663), production quarters (odds rate=1.011, 95% CI: 0.697-1.448) and sampling quarters (odds rate=1.215, 95% CI: 0.806-1.886).

### Molecular Characteristics of Cronobacter spp. Isolates

A dendrogram was compiled showing genetic relationships among *Cronobacter* spp. isolates (Figure 1). Twenty-five isolates generated 21 unique PFGE patterns digested with *Xba*I, and four pairs of isolates had indistinguishable PFGE patterns, respectively. Three pairs of positive isolates produced indistinguishable PFGE patterns after digestion with *Spel* (Figure 2). The positive samples

Classification	Subclassification	No. of Samples Tested	No. of Positive Samples	Rate (%)
Surveillance areas	Northeast China	258	2	0.78
	North China	344	0	0.00
	East China	403	4	0.99
	Northwest China	508	4	0.79
	Southwest China	339	4	1.18
	South Central China	430	11	2.56
Sampling site	Supermarket	2005	21	1.05
	Retail store	228	3	1.32
	Wholesale market	40	1	2.50
	Processing factory	9	0	
Production quarters	First quarter	766	9	1.17
	Second quarter	622	5	0.8
	Third quarter	491	6	1.22
	Fourth quarter	403	5	1.24
Sampling quarters	First quarter	252	3	1.19
	Second quarter	628	5	0.80
	Third quarter	756	7	0.93
	Fourth quarter	646	10	1.55
Production areas	China			
	Northeast China	551	5	0.91
	North China	435	5	1.15
	East China	499	2	0.4
	Northwest China	225	3	1.33
	Southwest China	29	1	3.45
	South Central China	462	8	1.73
	Aboard	81	1	1.23

Table 2. Cronobacter spp. Positive Rate in Powdered Formula

were isolated from different products in one processing factory in North China. C122, C125, C124, and C139 were all from the same factory in South Central China, but the production date was very different and at least 3 months separated production of these four samples. There was only one difference in DNA banding between C016 and C101 with 96.6% similarity after digestion with *Spe*I. Interestingly, these two positive samples were produced from different factories located in Northwest China and Northeast China.



#### DISCUSSION

#### Contamination of Cronobacter spp. in Powdered Formula

Only 40 grams of sample was tested for the qualitative analysis of counterfeit and low-quality infant formula in Fuyang, Anhui province in 2004<sup>[8]</sup> and the powdered formula in Chinese retail market from in 2005<sup>[9]</sup>, but 333 g sample were tested for quantitative analysis in this surveillance. There have been reported *Cronobacter* spp. Contaminations in

Production area Northeast China Northwest China South Central China South Central China South Central China Aboard Northwest China East China Southwest China South Central China South Central China South Central China North China North China North China Northeast China Northeast China North China East China North China Northeast China South Central China Northwest China Northeast China South Central China

Surveillance area Northeast China Northwest China South Central China South Central China South Central China Southwest China Northwest China East China Southwest China South Central China East China South Central China South Central China Southwest China Southwest China Northeast China South Central China East China South Central China Northwest China South Central China South Central China Northwest China Fast China South Central China

Figure 1. Dendrogram generated from PFGE profiles of Cronobacter spp. digested with Xbal (n=25).



Figure 2. Dendrogram generated from PFGE profiles of Cronobacter spp. digested with Spel (n=8).

2.4% samples of powdered infant formulas<sup>[17]</sup>, and 0.7% of follow up formulas assessed qualitatively using 25 g samples in the United Kingdom<sup>[18]</sup> and 5.3% powdered infant formulas with 333 g total sample by quantitative analysis in Korea<sup>[19]</sup>. The current investigation indicated a lower positive rate than in the above referenced studies.

Since 2004, serial intervention measures have been carried out for the production and sale of infant and follow-up powdered formula by Chinese Government. Measures included standardization of dairy cattle breeding, encouraging reorganization and modernization of enterprises, raising the threshold of market entry, mandating whole process implementation of factory traceability, and inspections for all sites. In addition, harmonization with international standards, higher frequency in process and market supervision, and release of all the related alert information to the public have led to the rapid quality improvement for powdered formula and the significant reduction in Cronobacter spp. contamination that has been observed in the past ten years of ongoing surveillance<sup>[9,20]</sup>. However, it was worthwhile to note that some factories were occasionally contaminated by this pathogen. The national disease surveillance for Cronobacter spp. has been conducted in China since 2012 after infant and follow-up food was found to be contaminated by this pathogen<sup>[21]</sup>. Four children aged 4-10 were reported for foodborne disease of *Cronobacter* spp. for drinking spoiled milk beverage in Chongqing in 2013<sup>[22]</sup>, and an infant with persistent diarrhea from environmental contamination was reported in Sichuan province in 2014<sup>[23]</sup>. There have been no reports of foodborne Cronobacter spp. related infection caused by contaminated infant and follow-up powdered formula in contrast to the worldwide distribution of reported cases<sup>[4]</sup>.

*Cronobacter* spp. was detected in several studies with positive rates ranging from 2% to 12.5% reported around 2000<sup>[24]</sup>. However, positive isolates were seldom obtained from the infant powdered formula in developed countries in the most recent 10 years<sup>[25-27]</sup>. There was little observed difference concerning the average positive rates of powdered formula among different provinces in China from 0% to 0.8%<sup>[28-30]</sup>. However, the positive rates of *Cronobacter* spp. in several Chinese cities were still high (above 5%) and were associated with persistent contamination within individual local factories<sup>[20,31]</sup>.

Cronobacter spp. was detected at levels from 0.3 MPN/100 g up to 66 MPN /100 g in some

studies<sup>[1,24,26,32]</sup>. In our surveillance, the quantitative data of 25 positive samples ranged from 0.3 MPN /100 g up to 24 MPN /100 g and the contamination levels were similar to the above reports. All of these data showed low contamination level of *Cronobacter* spp. in most infant and follow-up powdered formula in China.

#### Analysis of Contaminated Powdered Formula

There were no statistically significant differences concerning Cronobacter spp. occurrence among the infant powdered formula and follow-up products, four kinds of sampling sites, production and sampling quarters. These low positive rates are anticipated since all the facilities can produce both kinds of products in confined and sanitary environments with critical control of raw materials, hygiene, temperature, and humidity. Furthermore, each production facility can source and use raw materials on production lines that maintain sanitary standards over similar periods of time, and all of these powdered products are produced and pre-packed with long shelf life and quite stable microbial colony control as dehydrated powdered foods<sup>[2]</sup>.

The positive rate of *Cronobacter* spp. in South Central China was much higher than other areas because of the severe contamination of a local production factory. A total of six positive samples from this factory were detected by 4 laboratories in this survey. Further investigation showed that this factory used a dry-mix process, which suggests there was not a high-temperature sterilization process step, and the production line was not strictly controlled by a HACCP system resulting in a lack of critical controls for raw materials and the manufacturing environment. Four PFGE patterns for six isolates proved most of contaminations occurred from different sources. Together, these data demonstrated a serious microbiological hazard in this factory. Products from this facility were mainly sold within the South Central China regional markets, and exposure in the other five markets was limited.

Another brand of products was also found to have 5 samples contaminated by *Cronobacter* spp. Two positive samples, isolates C114 and C185, were produced in the parent factory in North China, and three positive samples, isolates C153, C154, and C062, were from the subsidiary factory in Northeast China. Analysis of the types of powdered formula, production data, production factories and PFGE

deduced that it was different patterns, contamination sources of Cronobacter spp. were responsible for contamination of these samples with the exception of C153 and C154. Analysis of the sources of the contamination was more complex than the dry-mix investigation since these samples were produced using a wet-mix process that included pasteurization and high-temperature sterilization processing steps.

The isolates with higher similarity, such as C111 and C165, C044 and C153 (or C154), were from different factories separated by considerable distance. Strain C111 was isolated from a sample produced in Holland, and no known epidemiological link could be identified. Three pairs of isolates with the same *Xbal* and *Spel* PFGE pattern were isolated from different products made in the same production factory. Two of these had similar production dates but the third occurrence happened after a 10 month gap (Figure 2). The PFGE method was a useful tool in identifying clusters of *Cronobacter* spp.

In conclusion, the positive rate of *Cronobacter* spp. was lower than previous observations in China. However, the presence of these foodborne pathogens in powder products is still a potential threat to the health of infant and young children, particularly for infants under 6 months of age and vulnerable infant. Greater attention should be paid to the contamination of infant and follow-up powdered formula.

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