Original Article



Clinical Evaluation of a Vancomycin Dosage Strategy Based on a Serum Trough Concentration Model in Elderly Patients with Severe Pneumonia*

YAN Wei[&], SUN Xiao Yan[&], WANG Meng, ZHAO Fei Fan, and ZHOU Qing Tao[#]

Department of Respiratory and Critical Care Medicine, Peking University Third Hospital, Beijing 100191, China

Abstract

Objective This study aimed to evaluate the clinical benefits of a vancomycin dosage strategy based on a serum trough concentration model in elderly patients.

Methods This prospective single-center, open-label, randomized controlled trial categorized 66 elderly patients with severe pneumonia into study and control groups. The control group received vancomycin using a regimen decided by the attending physician. Meanwhile, the study group received individualized vancomycin therapy with a dosing strategy based on a serum trough concentration model. The primary endpoint was the proportion of patients with serum trough concentrations reaching the target values. The secondary endpoints were clinical response, vancomycin treatment duration, and vancomycin-associated acute kidney injury (VA-AKI) occurrence.

Results All patients were at least 60 years old (median age = 81 years). The proportion of patients with target trough concentration achievement (\geq 15 mg/L) with the initial vancomycin regimen was significantly higher in the study group compared to the control group (75.8% *vs.* 42.4%, *P* = 0.006). Forty-five patients (68.2%) achieved clinical success, the median duration of vancomycin therapy was 10.0 days, and VA-AKI occurred in eight patients (12.1%). However, there were no significant differences in these parameters between the two groups. The model for predicting vancomycin trough concentrations was upgraded to: serum trough concentration (mg/L) = 17.194 – 0.104 × creatinine clearance rate (mL/min) + 0.313 × vancomycin daily dose [(mg/(kg·d))].

Conclusion A vancomycin dosage strategy based on a serum trough concentration model can improve the proportion of patients achieving target trough concentrations in elderly patients with severe pneumonia.

Key words: Pneumonia; Clinical trials; Vancomycin; Trough concentration; Elderly patients

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INTRODUCTION

pneumonia is a leading cause of death worldwide^[1]. Community-acquired pneumonia (HAP), and ventilator-associated

pneumonia (VAP) are highly prevalent in the elderly, and the incidence of pneumonia increases with age^[2]. *Staphylococcus aureus* is one of the most common pathogens^[3], and its strain, methicillinresistant *Staphylococcus aureus* (MRSA), is a multidrug resistant "super bacterium" resistant to

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[&]These authors contributed equally to this work.

^{*}Correspondence should be addressed to ZHOU Qing Tao, E-mail: qtzhou75@163.com

Biographical notes of the first authors: YAN Wei, female, born in 1981, MD, majoring in prevention and control of chronic airway disease, infectious diseases, lung cancer; SUN Xiao Yan, female, born in 1989, MD, majoring in prevention and control of chronic airway disease, infectious disease.

penicillin, cephalosporins, chloramphenicol, lincomycin, aminoglycosides, tetracyclines, macrolides, quinophthalones, sulfonamides, and rifampicin; this increased resistance poses a difficult problem in clinical treatment^[4]. Vancomycin has long been considered the first-line antibiotic for the treatment of severe MRSA infections, and serum trough concentrations of 15–20 mg/L are recommended by the Infectious Diseases Society of America (IDSA)^[5].

The dosage of vancomycin must be adjusted by therapeutic drug monitoring because of its narrow therapeutic concentration window [6], as higher vancomycin trough levels are associated with a greater risk for vancomycin-associated acute kidney injury (VA-AKI) [7,8]. Our previous investigation revealed a low (47.1%) proportion of elderly patients with vancomycin serum trough concentrations reaching the target concentrations ($\geq 15 \text{ mg/L}$) [9]. In addition, adjusting the vancomycin regimen to reach the target trough concentration took a considerable amount of time, which might have affected the efficacy.

Vancomycin serum trough concentrations correlate with various factors, especially creatinine clearance rate (CCR)^[9,10]. Our previous study indicated that CCR and daily dosage of vancomycin significantly correlated with vancomycin serum trough concentrations in elderly patients, and a model for predicting vancomycin trough concentrations was established as:

serum trough concentration (mg/L) = $15.942 - 0.101 \times CCR \text{ (mL/min)} + 0.347 \times \text{vancomycin daily dose [(mg/(kg·d)]}^{[9]}$.

An initial vancomycin regimen based on this model may help improve the proportion of elderly patients with serum trough concentrations reaching the target values, but it is yet to be tested. The present study aimed to evaluate the clinical benefits of individualized vancomycin therapy in elderly patients with severe pneumonia.

PATIENTS AND METHODS

Ethical Approval of the Study Protocol

This study was approved by the Peking University Third Hospital Medical Science Research Ethics Committee (approval number M2019017). Written informed consent to participate in the study was obtained from all patients or their legally-authorized representatives. This study is registered at ClinicalTrials.gov (number NCT04088305).

Design

This was a prospective single-center, open-label, randomized controlled trial conducted in the medical intensive care unit (ICU) of Peking University Third Hospital.

Subjects

Hospitalized patients with severe $CAP^{[11]}$, HAP, or $VAP^{[12]}$ were eligible for the study if they met the following criteria: 1) \geq 60 years of age; 2) Suspected or confirmed MRSA pneumonia requiring vancomycin therapy.

Severe pneumonia was defined as meeting one major criteria, or three or more minor criteria [11]. The criteria are as follows: 1) Septic shock with need for vasopressors; 2) Respiratory failure requiring mechanical ventilation; 3) Respiratory rate > 30 breaths/min; 4) Ratio of the partial pressure of oxygen in arterial blood to the fraction of inspired oxygen (PaO₂/FiO₂) < 250; 5) Multilobar infiltrates; 6) Confusion/disorientation; 7) Uremia [blood urea nitrogen level (BUN) > 20 mg/dL]; 8) Leukopenia (white blood cell count < 4,000 cells/µL) due to infection; 9) Thrombocytopenia (platelet count < 100,000/µL); 10) Hypothermia (core temperature < 36 °C); 11) Hypotension requiring aggressive fluid resuscitation.

Patients were excluded if they met one of the following criteria: 1) Had documented hypersensitivity to vancomycin; 2) Accepted blood purification therapy; 3) Had antibodies to the human immunodeficiency virus; 4) Had known/suspected tuberculosis, viral, or fungal infection at baseline.

Specimens from the lower respiratory tract included sputum (with < 10 squamous epithelial cells and > 25 polymorphonuclear cells per ×10 magnification field), tracheal aspirates, and bronchoalveolar lavage fluid. Isolation and identification of the causative bacteria were done by following standard procedures.

Baseline data of the patients were analyzed, including sex, age, weight, CAP or HAP/VAP, Acute Physiology and Chronic Health Evaluation (APACHE) II score, complete blood count, procalcitonin, PaO₂/FiO₂, creatinine concentration in serum (Cr), BUN, as well as the concentrations of alanine aminotransferase, aspartate aminotransferase, and albumin (ALB). CCR was calculated using the Cockcroft–Gault method^[13].

Treatments

Patients were assigned to the study or control

group based on a computer-generated randomization schedule.

Patients in the control group received vancomycin with a regimen decided by the attending physician. The specific empirical regimens were 1,000 mg of vancomycin every 8 or 12 hours if CCR was ≥ 130 mL/min, 1,000 mg every 12 hours if CCR was between 70 and 130 mL/min, 500 mg every 8 hours if CCR was between 50 and 70 mL/min, 500 mg every 12 hours if CCR was between 30 and 50 mL/min, and 500 mg every 24 hours if CCR was < 30 mL/min.

Patients in the study group received vancomycin with a regimen based on a serum trough concentration model^[9], using the minimum daily dosage that estimated the serum trough concentration could reach 15 mg/L.

Patients were intermittently infused with 500 or 1,000 mg of vancomycin every 8, 12, or 24 hours with the duration of the infusion being one hour.

The doses of vancomycin were adjusted according to the serum trough concentrations: the daily dose was increased by 500–1,000 mg when the trough concentration was < 15 mg/L, left unchanged when the trough concentration was between 15 and 20 mg/L, and decreased by 500–1,000 mg when the trough concentration was > 20 mg/L. The next dose was canceled when the trough concentration exceeded 30 mg/L.

Serum vancomycin concentrations were measured using a chemiluminescent microparticle immunoassay on an automated Abbott Architect i2000 (Abbott Laboratories). Blood sampling was performed before the fourth or fifth dose of vancomycin (trough). Serum was separated by centrifugation immediately after sampling.

All other treatments were at the discretion of the attending physician. If gram-negative bacilli co-infection was suspected or confirmed, broadspectrum antibiotics were administered in accordance with the standard clinical practice.

Endpoints

The primary endpoint was the proportion of patients with trough concentrations reaching the target value (≥ 15 mg/L). The secondary endpoints were clinical response, vancomycin treatment duration, and VA-AKI.

Clinical response was categorized as "clinical success" (cure or improvement of all signs and symptoms caused by the infection and no requirement for additional antibacterial therapy) or "clinical failure" (persistence or worsening of any

sign or symptom, development of any new signs or symptoms of infection, or requirement for other systemic antimicrobial therapy at the end of vancomycin therapy). Clinical response was assessed one week after vancomycin withdrawal.

Bacteriological response was categorized as "bacteriologic success" (eradication and presumed eradication) or "bacteriologic failure" (persistence and presumed persistence). The bacteriologic response was assessed by cultures of the sputum or tracheal aspirates obtained on the day after treatment cessation.

VA-AKI was defined as an increase in serum Cr by 0.3 mg/dL (26.5 μ mol/L) or more within 48 hours, or 1.5 times or more increase in Cr from baseline, or a urine volume less than 0.5 mL/(kg·h) for 6 hours^[14].

Statistical Analyses

Continuous variables were expressed as medians (interquartile range) and categorical variables as frequencies (%). Clinical data, as well as the baseline data and outcomes, were compared between the two patient groups. Continuous variables were compared using the non-parametric Mann-Whitney U test, and categorical variables were compared using the χ^2 test.

Based on our previous study on an individualized administration model of vancomycin in elderly patients with sepsis^[9], the proportion of patients with trough concentrations reaching the target values (≥ 15 mg/L) was estimated to be 80% in the study group and 50% in the control group. Therefore, we ascertained that 33 patients in each group would provide 80% power to detect a significant difference in the proportion of patients with trough concentrations reaching target values with P < 0.05 (two-sided) denoting significance.

All the analyses were conducted using SPSS, version 22.0 (IBM). A P < 0.05 was considered statistically significant.

RESULTS

From October 2019 to June 2022, 66 patients with severe pneumonia were enrolled and assigned randomly to the study (33 cases) or control group (33 cases) (Figure 1). The median age of the patients (39 men, 27 women) was 81 years. The median APACHE II score of the patients was 20, and CAP accounted for 43.9% (29 cases) of all cases. Baseline characteristics of the two groups were similar (Table 1).

Prevalence of serum trough concentration ≥ 15

mg/L with the initial vancomycin regimen was significantly higher in the study group than that in the control group (75.8% vs. 42.4%, P=0.006) (Figure 2). The proportion of patients with trough concentrations reaching target values of 15–20 mg/L was also significantly higher in the study group (24.2% reached less than 15 mg/L, 48.5% between 15–20 mg/L, 27.3% more than 20 mg/L) compared to the control group (57.6% less than 15 mg/L, 15.2% between 15–20 mg/L, 27.3% more than 20 mg/L), P=0.006 (Figure 3).

Forty-five patients (68.2%) achieved clinical success, the median duration of vancomycin therapy was ten days, VA-AKI occurred in eight patients (12.1%), and 18 patients (27.3%) accounted for inhospital mortality. However, there were no significant differences in these parameters between the two groups (Table 2).

Gram-positive cocci were isolated from 34 patients, including 11 strains of *Staphylococcus aureus*, of which 45.5% were methicillin-resistant; 21 strains of *Coagulase-negative staphylococci*, with methicillin-resistant strains accounting for 51.7%; and two strains of *Enterococcus*. Pathogens of severe pneumonia in the two groups were similar, and minimum inhibitory concentration (MIC) values of vancomycin were significantly higher in the study group compared to the control group (Table 3).

The model for predicting vancomycin trough concentrations used in the present study was

established by evaluating 87 elderly patients in our previous study^[9]. After optimization using the data of 66 patients in the present study, the model for predicting vancomycin trough concentrations was upgraded to:

serum trough concentration $(mg/L) = 17.194 - 0.104 \times CCR (mL/min) + 0.313 \times vancomycin daily dose <math>[mg/(kg\cdot d)]$ (Table 4).

DISCUSSION

In the present study, a vancomycin dosage strategy based on a serum trough concentration model was evaluated in elderly patients with severe pneumonia. We found that the number of patients with trough concentrations reaching the target values in the individualized vancomycin therapy group was significantly elevated compared to the control group.

Vancomycin is a glycopeptide antibiotic and is the first-line treatment for infections caused by MRSA and other multidrug-resistant gram-positive cocci. The area under the concentration-time curve to MIC ratio is the most useful pharmacodynamic parameter to predict vancomycin effectiveness, which suggests a target ratio of 400 or greater to eradicate Staphylococcus $aureus^{[15,16]}$. The corresponding serum trough concentrations are 15–20 mg/L when MIC \leq 1.0 mg/L^[17]. Therefore, vancomycin serum trough concentrations of 15–

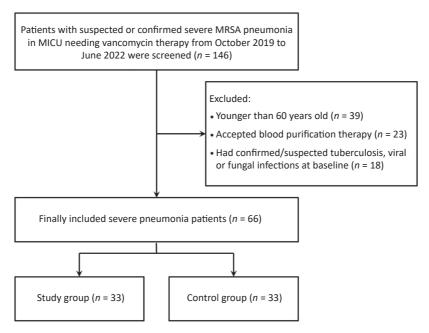


Figure 1. Flowchart of patients who were included in and excluded from the study. MRSA, methicillin-resistant *Staphylococcus aureus*.

20 mg/L are recommended for severe infections by the IDSA. However, VA-AKI often limits its use, and higher vancomycin trough concentrations of > 20 mg/L are independently associated with VA-AKI Patients aged \geq 80 years with vancomycin trough concentration \geq 20 mg/L appear to have a worse prognosis than that of patients with lower vancomycin trough concentrations [18]. Therefore, the initial vancomycin regimen should be individualized to improve outcomes and patient safety, especially in elderly patients.

of subtherapeutic The incidence trough concentrations of vancomycin is high in patients receiving vancomycin treatment. The Defining Antibiotic Levels in Intensive Care study demonstrated a large interindividual variability in vancomycin pharmacokinetic and pharmacodynamic target attainment among ICU patients, while the target trough concentrations were achieved in only 39% and 71% of patients receiving intermittent and continuous infusion, respectively^[19]. Molina et al.^[20] reported a 46% incidence of subtherapeutic trough

Table 1. Comparison of the demographic and clinical data of elderly patients with severe pneumonia between the study group and control group (n = 66)

Characteristics	All patients (n = 66)	Study group $(n = 33)$	Study group $(n = 33)$ Control group $(n = 33)$	
Age (years)	81 (69–85)	81 (69-84)	81 (69-86)	0.973
Men	39 (59.1)	18 (54.5)	21 (63.6)	0.453
Body weight (kg)	60.0 (52.2-72.0)	62.5 (52.7-75.0)	59.0 (51.0-66.4)	0.284
Comorbidities				
COPD	5 (7.6)	2 (6.1)	3 (9.1)	1.000
Diabetes mellitus	21 (31.8)	8 (24.2)	13 (39.4)	0.186
Hypertension	29 (43.9)	14 (42.4)	15 (45.5)	0.804
Cerebrovascular disease	24 (36.4)	12 (36.4)	12 (36.4)	1.000
Neoplasm	6 (9.1)	3 (9.1)	3 (9.1)	1.000
Liver disease	2 (3.0)	0	2 (6.1)	0.492
Heart failure	18 (27.3)	12 (36.4)	6 (18.2)	0.097
Renal disease	8 (12.1)	3 (9.1)	5 (15.2)	0.708
Community-acquired pneumonia	29 (43.9)	18 (54.5)	11 (33.3)	0.083
WBC (× 10 ⁹ /L)	10.5 (7.7–16.9)	10.4 (6.1–16.9)	10.6 (8.1–17.8)	0.320
NEU (%)	85.2 (75.3-92.0)	83.0 (73.8-88.7)	87.6 (77.9-93.5)	0.121
HGB (g/L)	105.5 (92.0-120.5)	109.0 (91.0-125.5)	100.0 (93.5-116.0)	0.281
PLT (× 10 ⁹ /L)	171.5 (102.3-282.3)	158.0 (96.5-268.0)	172.0 (118.0-284.0)	0.681
PCT (ng/mL)	0.4 (0.1-2.9)	0.3 (0.1-3.0)	0.4 (0.2-2.4)	0.323
BUN (mmol/L)	9.9 (6.4–15.4)	8.4 (5.8–14.9)	10.0 (6.5–16.1)	0.696
Serum creatinine (μmol/L)	74.5 (51.0-106.8)	76.0 (52.5–99.0)	73.0 (50.0–140.5)	0893
CCR (mL/min)	58.8 (40.6-81.5)	60.5 (47.2-75.0)	54.8 (30.0-95.5)	0.906
ALT (U/L)	23.0 (16.8–39.5)	23.0 (17.5–38.0)	25.0 (13.0-57.5)	1.000
AST (U/L)	35.0 (22.0-65.5)	37.0 (24.0-63.0)	34.0 (20.0-80.5)	0.778
Serum albumin (g/L)	32.1 (28.0-37.3)	30.8 (28.6-36.7)	33.1 (28.0-37.3)	0.852
P_aO_2/F_iO_2	220.0 (164.5-314.0)	231.5 (195.2-330.3)	219.0 (149.5-313.5)	0.627
APACHEII score	20.0 (13.0-26.0)	18.0 (12.0-23.0)	21.5 (15.0-27.0)	0.136

Note. Data are presented as n (%) or medians (interquartile range). COPD: Chronic obstructive pulmonary disease; WBC: White blood cell; NEU: Neutrophil; HGB: Hemoglobin; PLT: Platelet; PCT: Procalcitonin; BUN: Blood urea nitrogen; CCR: Creatinine clearance; ALT: Alanine aminotransferase; AST: Aspartate aminotransferase; APACHE: Acute Physiology and Chronic Health Evaluation.

concentrations of vancomycin in 119 trauma patients. Furthermore, subtherapeutic concentrations (< 10 mg/L) have been observed in 56.3% of morbidly obese patients^[21]. Our previous study showed that the incidence of subtherapeutic trough concentrations of vancomycin in elderly patients was 52.9%^[9], which was consistent with the results of previous studies. In addition, the majority of patients had subtherapeutic vancomycin concentrations, which increases the risk of treatment failures and resistance.

The initial trough concentration is important for achieving better outcomes with vancomycin treatment, and individualized vancomycin treatment is helpful in achieving target trough concentrations. Kondo et al. [22] reported that a higher vancomycin concentration tended to be associated with a shorter

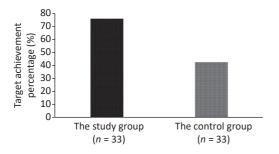


Figure 2. Proportion of patients with target serum trough concentration achievement (≥ 15 mg/L). The initial vancomycin regimen was significantly higher in the study group compared to the control group (75.8% vs. 42.4%, P = 0.006).

time to achieve a 50% decline in C-reactive protein levels. Furthermore, the time to resolution of fever in patients with a higher initial trough level was significantly lower than that in patients with a lower trough level, suggesting that the initial trough concentration was important for achieving better outcomes with vancomycin treatment. Truong et al. [23] retrospectively compared the time to attain a target trough level and incidence of VA-AKI in ICU patients whose vancomycin was dosed by a pharmacy pharmacokinetic (PK) dosing and monitoring service to the standard of care. Fifty

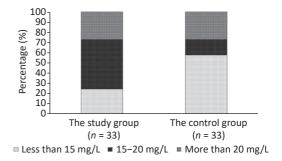


Figure 3. Proportion of patients with trough concentrations reaching the target range of 15-20~mg/L was significantly higher in the study group compared to the control group. In the study group: 24.2% patients achieved less than 15~mg/L, 48.5% between 15-20~mg/L, and 27.3% more than 20~mg/L; in the control group: 57.6% patients achieved less than 15~mg/L, 15.2% between 15-20~mg/L, and 27.3% more than 20~mg/L; P=0.006.

Table 2. Comparison of the clinical response and side effects of vancomycin therapy between the study group and control group (n = 66)

Characteristics	All patients (n = 66)	Study group $(n = 33)$	Control group $(n = 33)$	<i>P</i> -value
Clinical response to vancomycin therapy				0.792
Clinical success	45 (68.2)	23 (69.7)	22 (66.7)	
Clinical failure	21 (31.8)	10 (30.3)	11 (33.3)	
Side effects of vancomycin therapy				
VA-AKI	8 (12.1)	2 (6.1)	6 (18.2)	0.258
Rashes	2 (3.0)	2 (6.1)	0	0.492
ALT/AST increase	4 (6.1)	1 (3.0)	3 (9.1)	0.613
Daily dosage of initial vancomycin regimen (g)	1.0 (1.0–1.5)	1.0 (1.0-1.5)	1.0 (0.8–1.5)	0.709
Duration of vancomycin therapy (day)	10.0 (7.0–14.0)	10.0 (7.0–14.0)	10.0 (7.0–14.0)	0.918
In-hospital mortality	18 (27.3)	8 (24.2)	10 (30.3)	0.580

Note. Data are presented as n (%) or medians (interquartile range). VA-AKI: Vancomycin associated acute kidney injury; ALT: Alanine aminotransferase; AST: Aspartate aminotransferase.

patients were included in each group. The proportions of patients attaining goal trough concentrations in the PK and traditional groups were 84.4% and 29.4% by 48 hours (P = 0.0001), 88.4% and 60.7% by 72 hours (P = 0.009), and 92.9% and 77.8% by 96 hours (P = 0.100), respectively. Our present study evaluated elderly patients, and the vancomycin dosage strategy based on a serum trough concentration model significantly improved the achievement of target trough concentration from 42.4% to 75.8% (P = 0.006).

Many factors can influence the trough concentration, such as renal function^[24-26], age^[27], ALB blood level^[28], sepsis duration^[29], and concomitant diuretic use^[30]. Nevertheless, in elderly patients, renal function is the most important variable for vancomycin trough concentration. In our previous study conducted on 78 elderly patients who were at least 60 years old, multivariate linear regression showed that the CCR and daily dosage of vancomycin were independent predictors of vancomycin serum trough concentrations, yielding the model for predicting vancomycin trough concentration^[9].

After optimization using data from 66 patients in the present study, the model for predicting

vancomycin trough concentrations was upgraded to: serum trough concentration (mg/L) = 17.194 – 0.104 × CCR (mL/min) + 0.313 × vancomycin daily dose [mg/(kg·d)].

This model can improve the accuracy of prediction and decrease the number of patients with subtherapeutic or supratherapeutic trough concentrations.

Our study has two main limitations. First, our previous model for predicting vancomycin trough concentrations was developed for patients aged ≥ 60 years; therefore, the present study was limited to elderly patients. Second, we determined the CCR using the Cockcroft-Gault formula, which may not be accurate in critically ill patients. However, the Cockcroft-Gault equation is widely used by clinicians because it is convenient as well as the most timely indicator to determine the initial vancomycin regimen.

CONCLUSION

A strategy for vancomycin dosage based on a serum trough concentration model can improve the proportion of patients with trough concentrations approaching the target values among elderly

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Characteristics	All patients (n = 34)	Study group (n = 18)	Control group $(n = 16)$	<i>P</i> -value
Bacteriological response to vancomycin therapy				1.000
Bacteriologic success	27 (79.4)	14 (77.8)	13 (81.3)	
Bacteriologic failure	7 (20.6)	4 (22.2)	3 (18.8)	
Isolated gram-positive cocci				0.068
Staphylococcus aureus	11 (32.4)	8 (44.4)	3 (18.8)	
Coagulase-negative staphylococci	21 (61.8)	8 (44.4)	13 (81.3)	
Enterococcus	2 (5.9)	2 (11.1)	0	
MIC values for vancomycin (mg/L)	1.0 (0.5–1.0)	1.0 (1.0-2.0)	0.5 (0.5–1.0)	0.003

Note. Data are presented as n (%) or medians (interquartile range). MIC: Minimum inhibitory concentration.

Table 4. The upgraded model for predicting vancomycin trough concentrations

Parameter		Chil Faren A		D l	95% confidence interval	
	В	Std. Error	ι	<i>P</i> -value	Lower Bound	Upper Bound
Constant	17.194	1.822	9.437	< 0.001	13.593	20.794
Creatinine clearance (mL/min)	-0.104	0.019	-0.459	< 0.001	-0.141	-0.067
Vancomycin daily dose [mg/(kg·d)]	0.313	0.079	3.960	< 0.001	0.157	0.468

patients with severe pneumonia. The model for predicting vancomycin trough concentrations was upgraded to: serum trough concentration (mg/L) = $17.194 - 0.104 \times CCR (mL/min) + 0.313 \times vancomycin daily dose [mg/(kg·d)]. Our findings have potential value for the development of individualized antibiotic regimens.$

SUPPLEMENTARY MATERIALS

All data supporting the findings of this study are available within the article.

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AUTHOR CONTRIBUTIONS

ZHOU Qing Tao designed and coordinated the study. YAN Wei and SUN Xiao Yan were responsible for patient screening, enrollment, and follow-up. WANG Meng and ZHAO Fei Fan collected and analyzed the data. YAN Wei and SUN Xiao Yan drafted the manuscript. ZHOU Qing Tao critically revised the manuscript. All authors had full access to all study data, read and approved the final version of the manuscript.

CONFLICTS OF INTEREST

The authors have no conflicts of interest that are directly relevant to the content of this study.

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